## 10/577239 IAP12 Rec'd PCT/PTO 26 APR 2006

PATENT

Customer No. 22,852 Attorney Docket No. 09013.0009

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| in re Application of:  |                                      |  |  |
|--|--------------------------------------|--|--|
| Simon BATES et al.   | ) Group Art Unit: TO BE ASSIGNED     |  |  |
| Application No.: NEW   | ) Examiner: TO BE ASSIGNED           |  |  |
| Filed: April 26, 2006  | )<br>)                               |  |  |
| For: METHOD FOR INDEXING CRYSTALLINE SOLID FORMS                       | ) Confirmation No.: TO BE ASSIGNED ) |  |  |
| Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 |                                      |  |  |
| Sir:   |                                      |  |  |
| Sir:   |                                      |  |  |

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed together with this application.

Copies of the listed non-patent literature documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form and returning it to Applicants' undersigned representative.

With respect to the non-English language document Le Bail, "Liste Complete des Productions Scientifiques 1976-2004," Applicants have submitted the English language search report, generated for the corresponding international application, citing this document.

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This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: April 26, 2006

Steven J. Scott Reg. No. 43,911

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| ItàS Form PTO/SB/08: Substitute for form 1449A/PTO |          | Complete it Know 577239 |            |                        |                |
|--|----------|-------------------------|------------|------------------------|----------------|
|  |          |                         |            | Application Number     | NEW            |
| l in   | FORMATIO | N DIS                   | CLOSURE    | Filing Date            | April 26, 2006 |
| STATEMENT BY APPLICANT                             |          |                         |            | First Named Inventor   | Simon BATES    |
|  |          |                         |            | Art Unit               | TO BE ASSIGNED |
| (Use as many sheets as necessary)                  |          |                         | necessary) | Examiner Name          | TO BE ASSIGNED |
| Sheet  | 1        | of                      | 1          | Attorney Docket Number | 09013.0009     |

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS |                 |  |                             |                              |   |
|---|-----------------|--|-----------------------------|------------------------------|---|
| Examiner Cite                                       | Document Number | Issue or                                 | Name of Patentee or         | Pages, Columns, Lines, Where |   |
| Initials  | No.¹            | Number-Kind Code <sup>2</sup> (if known) | Publication Date MM-DD-YYYY | Applicant of Cited Document  | Relevant Passages or Relevant<br>Figures Appear |
|   |                 | US2004/0103130 A1                        | 27May2004                   | Ivanisevic et al.            |   |
|   |                 |  |                             |                              |   |
|   |                 |  |                             |                              |   |

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

|                      | FOREIGN PATENT DOCUMENTS |  |                                   |  |  |                          |  |
|----------------------|--------------------------|--|-----------------------------------|--|--|--------------------------|--|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures<br>Appear | Translation <sup>6</sup> |  |
|                      |                          |  |                                   |  |  |                          |  |
|                      |                          |  |                                   |  |  |                          |  |
|                      |                          |  |                                   |  |  |                          |  |

|                                |  | NON PATENT LITERATURE DOCUMENTS   |  |  |  |
|--------------------------------|--|---|--|--|--|
| Examiner Cite<br>Initials No.1 |  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |
|                                |  | Le Bail, "Monte Carlo indexing with McMaille," Structure Solution from Powder Diffraction Data SSPD03 [Online], pp. 1-31 (2003) XP002326866   |  |  |  |
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|                                |  | Coelho, "Indexing of powder diffraction patterns by iterative use of singular value decomposition," Journal of Applied Crystallography, vol. 36, pp. 86-95 (2003) XP009047143   |  |  |  |
|                                |  | Jacobson, "A Monte Carlo method for indexing," Zeitschrift Fur Kristallographie, vol. 212, no 10, pp. 689-690 (1997) XP009047153  |  |  |  |
|                                |  | Kariuki, "A new approach for indexing powder diffraction data based on whole-profle fitting and global optimization using a genetic algorithm," Journal of Synchrotron Radiation, vol. 6, pp. 87-92 (1999) XP009047154  |  |  |  |
| ·                              |  | Hwang, "Xrayscan: an indexing program considering dense spurious peaks in an optimization method," Chinese Journal of Physics, vol. 34, no. 1, pp. 47-57 (1996) XP002326868   |  |  |  |
|                                |  | Shirley, "Overview of powder-indexing program algorithms (history and strengths and weaknesses)," IUCR COMPUT. COMMISS. NEWSLETT. [Online], vol. 2, pp. 48-54 (2003) XP002326869  |  |  |  |

| Examiner  |  | Date                                  |  |
|-----------|--|---------------------------------------|--|
| Signature | <u>                                     </u> | Considered                            |  |
|           |  | · · · · · · · · · · · · · · · · · · · |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.